FORM PTO-1595
(Rev. 6-93)

RECOR

05-25-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)
2.16.01

101698847

Case LA0050 NP

To the Honorable Commissioner of Pate ...ned original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey A. Robl, Richard B. Sulsky, David J. Augeri, David R. Magnin, Lawrence G. Hamann, David A. Betebenner

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

_____

_____

Street Address: Lawrenceville-Princeton Road

_____

City: Princeton State: NJ ZIP: 08543-4000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other _____

Execution Date: 2/13/01, 1/14/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 1/13/01, 2/14/01

A. Patent Application No.(s)

09/788,173

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marla J. Mathias

Internal Address: Bristol-Myers Squibb Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) $ 40

☐ Enclosed

☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Burton Rodney
Name of Person Signing
Reg. No. 22,076

Signature

2/13/01
Date

☐ **Certificate of mailing on reverse side**

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

We,

Jeffrey A. Robl	residing at	7 Tulip Drive Newtown, Pennsylvania 18940 United States of America
Richard B. Sulsky	residing at	2 Coventry Square West Trenton, New Jersey 08628 United States of America
David J. Augeri	residing at	107 Carter Road Princeton, New Jersey 08540 United States of America
David R. Magnin	residing at	40 Cottage Court Hamilton, New Jersey 08690 United States of America
Lawrence G. Hamann	residing at	24 E. Riding Drive Cherry Hill, New Jersey 08003 United States of America
David A. Betebenner	residing at	3 Easton Court Lawrenceville, New Jersey 08648 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

CYCLOPROPYL-FUSED PYRROLIDINE-BASED INHIBITORS OF DIPEPTIDYL PEPTIDASE IV AND METHOD

invented by us and described in the non-provisional United States patent application

Application No. 60/188,555, filed March 10, 2000 ,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known tous respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 13 day of February, 2001 by _____
Jeffrey A. Robl

Signed this 13 day of February, 2001 by _____
Richard B. Sulsky

Signed this 14 day of February, 2001 by _____
David J. Augeri

Signed this 13th day of February, 2001 by _____
David R. Magnin

Signed this 13th day of February, 2001 by _____
Lawrence G. Hamann

Signed this 14 day of February, 2001 by David A. Betebenner

David A. Betebenner

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 13 day of February 2001, before me came Jeffrey A. Robl, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Maria Zulla
Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 13 day of February 2001, before me came Richard B. Sulsky, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Maria Zulla
Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 14 day of February 2001, before me came David J. Augeri, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Maria Zulla
Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 13 day of February 2001, before me cameDavid R. Magnin, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Maria Zulla
Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 13 day of February, 2001, before me cameLawrence G. Hamann, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Maria Zulla
Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 14 day of February, 2001, before me cameDavid A. Betebenner, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Maria Zulla
Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004